Light-Weight Forwarding Protocols in Energy Harvesting Wireless Sensor Networks

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Abstract—Due to the unavoidable battery replacement or replenishment, diverse energy harvesting techniques have been integrated with Wireless Sensor Networks (WSNs) to overcome limited battery power and extend the network lifetime. However, variable transmission power levels based on non-uniform energy harvesting rates can incur asymmetric links. In this paper, we propose light-weight forwarding protocols to reliably deliver sensory data to a sink over time-varying asymmetric links in energy harvesting WSNs. A Weighted Confirmation (WCFM) scheme is proposed to differentiate multiple paths between a data source and a sink by assigning multiplicative weights on the paths. A Lazy Confirmation (LCFM) scheme is also proposed to assure a reverse path by waiting for extended communication range. In addition, an Asymmetric Link Aware Backoff mechanism is proposed to avoid possible packet contentions and collisions. We evaluate the proposed techniques through extensive simulation experiments and their results indicate that the proposed forwarding protocols can be a viable approach in energy harvesting WSNs.

Index Terms—Asymmetric link, energy harvesting, forwarding protocol, wireless sensor networks.

I. INTRODUCTION

Wireless Sensor Networks (WSNs) often require long-term sensing/communicating operations on the order of days or even weeks in a hostile and unattended area, e.g., deployed as a mission-oriented network. As pointed out in [1], a Tmote\textsuperscript{TM} Sky node consumes 64.68 mW in a receive mode. Under the two standard 3,000 mAh AA batteries, if the node is highly utilized, network lifetime is only 5.8 days. In battery-powered WSNs, replacing or replenishing the batteries is ultimately unavoidable and it may be infeasible or even impossible in such a harsh environment. Due to the limited battery power, therefore, WSNs powered by diverse environmental sources (i.e., solar, vibration, wind, thermal, etc.) have been widely investigated. This paper is also motivated by the fact that the U.S. Army will eventually eliminate all the military batteries or at least reduce the frequency of replacing batteries for communication devices [2]. Soldiers will be equipped with batteryless or self-powered communication devices in near future.

With energy harvesting, sensor devices (later nodes) may contain a different amount of residual energy because of non-uniform energy harvesting rates in WSNs. Depending on the energy availability, nodes can deploy variable transmission power levels and thus, multiple communication ranges commonly exist in the network. For example, variable transmission power levels are easily witnessed in the CISCO Aironet 340 and 350 series and Wi-Fi networks [3] to provide customized services, where computation power, storage limit, and energy consumption are selectively considered. Note that multiple communication ranges can lead to asymmetric links. For example, if a node, \(n_a\), can reach nodes, \(n_b\) and \(n_c\), but both \(n_b\) and \(n_c\), or either \(n_a\) or \(n_c\), may not be able to reach \(n_a\).

Since each node can change its transmission power levels based on energy harvesting, a link between two nodes may not be stable. Thus, a route from a data source to a sink also may become unreliable in the presence of time-varying asymmetric links. A bidirectional routing [4] and a tier-based routing framework [5] deployed in asymmetric mobile ad hoc networks (MANETs) cannot directly be applied in resource constrained WSNs. A probabilistic routing [6] and a multiple range convergecast routing [7] have been proposed for heterogeneous WSNs. In these approaches, a small number of nodes is dedicated to communicate with the extended communication range, or each node is able to change its multiple transmission power levels anytime. However, time-varying communication ranges motivated by energy harvesting have not been well considered. To the best of our knowledge, little work has been devoted in a forwarding methodology in the realm of energy harvesting WSNs.

In this paper, we propose light-weight forwarding protocols to reliably deliver sensory data to a sink in the presence of time-varying asymmetric links in energy harvesting WSNs. Our contributions are three-fold:

- First, we propose Weighted Confirmation (WCFM) and Lazy Confirmation (LCFM) schemes to reliably deliver sensory data to the sink. The WCFM scheme differentiates multiple paths between a data source and a sink by assigning multiplicative weights on the paths. In the LCFM scheme, nodes assure a reverse path by waiting for the extended communication range.
• Second, an Asymmetric Link Aware Backoff mechanism is also proposed to avoid packet contentions and collisions by considering the historical statistics of routing and number of neighbor nodes.
• Third, we evaluate the proposed WCFM and LCFM schemes and their hybrid approach, called Hybrid Confirmation (HCFM), using OMNeT++. We modify a conventional explicit acknowledgment, called Conventional Ack (CAck) scheme, to work in energy harvesting WSNs for performance comparison.

The WCFM, LCFM, and HCFM schemes show higher packet delivery ratio but keep lower latency compared with the CAck scheme. Overall simulation results indicate that the proposed forwarding protocols is a viable approach for reliable asymmetric routing in energy harvesting WSNs.

The rest of paper is organized as follows. A system model and the proposed forwarding protocols are presented in Section II. Section III presents simulation results and their analyses. Finally, we conclude the paper with future research direction in Section IV.

II. THE PROPOSED LIGHT-WEIGHT FORWARDING PROTOCOLS

In this section, we first present a system model of energy harvesting and neighbor node relationship in the network. Then we propose forwarding protocols in energy harvesting WSNs.

A. System Model

In this paper, energy harvesting is modeled by a two-state Markov process with harvest \((S_{hv})\) and normal \((S_{nr})\) states. In \(S_{hv}\) and \(S_{nr}\) states, nodes operate in the extended and normal communication ranges, respectively. A node stays in \(S_{nr}\) state for a random amount of time, which is exponentially distributed with a mean \(\lambda_{nr}\), and changes its state into \(S_{hv}\) state. After energy harvesting for some amount of time in \(S_{hv}\) state, which is also assumed to be exponentially distributed with a mean \(\lambda_{hv}\), the node changes its state back to \(S_{nr}\) state. Both \(S_{nr}\) and \(S_{hv}\) states are repeated. Upon energy harvesting, each node is able to operate in higher transmission power level to extend its current normal communication range.

Due to multiple communication ranges, each node can have a different set of neighbor nodes. In this paper, we consider dual communication ranges for the sake of simplicity and categorize node adjacency into four cases as shown in Fig. 1. Each node exchanges an one-hop Hello packet, overhears bypassing packets, and maintains a neighbor list, \(G\). The list consists of a set of neighbor nodes reachable with either normal communication range \((G^+\) or extended communication range \((G^-\)), respectively. For example, in Subfig. 1(a), \(n_a\) and \(n_b\) operate in the extended and normal communication ranges, respectively. \(n_a\) can communicate with \(n_b\) but \(n_b\) cannot. Similarly, in Subfig. 1(b), \(n_b\) can communicate with \(n_a\) but \(n_a\) cannot. Both \(n_a\) and \(n_b\) can communicate each other with the extended communication range in Subfig. 1(c).

![Fig. 1. Neighbor lists with dual communication ranges. Here, normal and extended communication ranges are marked as dotted and dashed lines, respectively.](image)

In Subfig. 1(d), both \(n_a\) and \(n_b\) are not located within their normal communication range.

B. Detail Operations

The proposed forwarding protocols consist of three major operations: broadcast-based forwarding, routing history update, and asymmetric link aware backoff. A basic idea is that each node forwards sensory data to the selected node based on its historical statistics of routing. Since a route from a data source to a sink is unreliable in the presence of time-varying asymmetric links, we do not maintain and update a routing table.

First, a simple broadcast-based forwarding is deployed to avoid the exchange of control packets and reliably deliver a data packet through multiple paths. Each node re-broadcasts the received data packet only if it has been forwarded from the node located further from the sink in terms of number of hops. Here, a sink floods an one-time Hop packet piggybacked with the number of hops \((h)\) initially set by 0) to the rest of nodes at the initial network setup. When a node receives Hop packet, it increments \(h\) by one, stores the updated \(h\) in a local storage, and rebroadcasts the packet piggybacked with the updated \(h\). When a node receives the Hop packet containing higher number of hops, \(h'\), it replaces \(h'\) with the stored \(h\) and rebroadcasts the packet. Thus, each node is aware of how many hops away from the sink.

Second, each node maintains a historical statistics of routing by updating a ratio of the number of delivered packets to the sink \((d)\) to the number of forwarded packets \((f)\), \(DF = \frac{d}{f}\). When a node forwards a data packet, it increments the number of forwarded packets by different values (i.e., 1, 0.6, or 0.4). When the sink receives a data packet, it replies a confirmation (Cfm) packet, which is relayed back to a source node. When a node receives a Cfm packet, it increments the number of delivered packets. If a node has higher DF, it has frequently and successfully delivered data packets to the sink. Unlike prior approach [4], [6], each node does not actively find a
reverse path using additional control packets in energy harvesting WSNs. Note that a Cfm packet is relayed back to a source node through the intermediate nodes located along the path in best efforts. This is because of time-varying asymmetric links that incur frequent link disconnections. This approach is different from a conventional explicit acknowledgment scheme for the purpose of reliable routing, where a sink replies an acknowledgment (Ack) packet back to a data source if a data packet is successfully received. We observe that replying an Ack packet back to a source does not very efficient in terms of Ack packet delivery ratio in energy harvesting WSNs, which supports our approach. As shown in Fig. 2, Ack packet delivery ratios against different energy harvesting periods are quite low because of time-varying asymmetric links. Since the data source frequently experiences timeouts and executes retransmissions, a large number of data packets are lost. In this paper, we do not consider an implicit acknowledgment scheme based overhearing [8], because the radio should be kept active, resulting in a non-negligible energy consumption.

Fig. 2. Acknowledgment packet delivery ratio as a function of mean periods of harvest (S_{hv}) and normal (S_{nr}) states.

Due to the multiple paths, the sink may receive the same data packet from data source multiple times. Upon receiving a data packet, the sink determines whether it already has received the packet routed with the same path. If not, the sink replies a Cfm packet. The sink also replies to the later arriving data packets routed with different paths. The sink accepts up to three duplicated data packets routed with different paths. Whenever the sink replies a Cfm packet, it piggybacks an increment factor (\(\Delta\), initially set by a value 1 (\(\Delta_1\))) into the Cfm packet. In this paper, we propose a Weighted Confirmation (WCFM) scheme. Whenever the sink repeatedly replies a Cfm packet for the same data packet, it reduces the increment factor, i.e., \(\Delta_1\), \(\Delta_{0.6}\), and \(\Delta_{0.4}\). When a node receives a Cfm packet, it adds the piggybacked increment factor to the current number of delivered packets (d). Thus, the DF increases with different increment factors. \(\Delta_1\) is assigned to the first arriving data packet, because it is expected that the packet has been routed through the shortest path or the path with higher DF. Intermediate nodes can forward the Cfm packet at most three times and adjust their number of forwarded packets accordingly. Here, we multiplicatively adjust the increment factor to clearly see the effect of the WCFM scheme on the performance. The rationale behind this approach is to have nodes with higher DF involved in the routing operation frequently and deliver data packets reliably. Due to the communication overhead, this approach limits the number of multiple paths by deploying three increment factors.

For example, \(n_s\) initially generates a data packet and sends it toward a sink in Subfig. 3(a). Both \(n_s\) and \(n_a\) operate in an extended communication range and the rest of nodes operate in a normal communication range. \(n_b\), \(n_c\), and \(n_d\) receive the forwarded data packet from \(n_a\). Although \(n_b\) and \(n_d\) receive the packet simultaneously, let say, \(n_c\) forwards it. In Subfig. 3(b), \(n_b\) and \(n_c\) forward the packet and the sink receives the packet from \(n_b\). The sink replies a Cfm packet piggybacked with the increment factor (i.e., \(\Delta_1\)) to \(n_b\) and receives the same data packet from \(n_c\), as shown in Subfig. 3(c). The sink also replies another Cfm packet piggybacked with a reduced increment factor (i.e., \(\Delta_{0.6}\)) for the later arriving packet. Multiple Cfm packets are sent back to the data source, \(n_s\), through multi-hop relay as shown in Subfig. 3(d). All the intermediate nodes located along to the path between \(n_s\) and the sink update their DF based on the increment factors piggybacked in the Cfm packets. The pseudo code of major operations in the WCFM scheme is summarized in Fig. 4.

If \(n_s\) shrinks back to a normal communication range, its reverse link to \(n_s\) will be disconnected as shown in Subfig. 5(a). To support this, we propose a Lazy Confirmation (LCFM) scheme, where \(n_a\) does not search a reverse path to \(n_s\) but buffers any incoming Cfm packets. Then when \(n_a\) operates in an extended communication range, it forwards the buffered Cfm packets to \(n_s\), as shown in Subfig. 5(b). A basic idea of the LCFM scheme is that nodes conservatively forward both data and Cfm packets only when their reverse path is available. In contrast to the WCFM scheme, the LCFM scheme does not adjust increment factor but always piggybacks \(\Delta_1\) to the Cfm packet. The pseudo code of major operations in the LCFM scheme is summarized in Fig. 6.

Third, we deploy a simple CSMA/CA MAC protocol for
of increment factors, which is three.

...executes a backoff procedure before forwarding the packet to avoid possible packet contentions and collisions. A basic idea is that a node containing higher \( Q_i \) has a lower backoff period because of its potential to reduce the transmission latency by shortening the number of hops to the sink. To calculate a backoff period, we consider both the \( DF \) and the number of neighbors (i.e., \( |G_i^+| \) or \( |G_i^+| \)). For example, when a node \( n_j \) receives a data packet, its backoff period is expressed as,

\[
t_i^{b,off} = \text{Min}(\frac{f_j}{|G_i^+|} \cdot CW + \delta_i, CW) \cdot t_s, \quad (1)
\]

where \( |G_i| \) becomes either \( |G_i^+| \) or \( |G_i^+| \) depending on the current transmission power level. Here, \( |G_i^+| \geq |G_i^+| \). Also \( \delta_i \) becomes Uniform(0, \( |G_i| \)). In case of \( G_i^+ = \{ \} \), we mean \( |G_i^+| = 0 \) and \( |G_i| = 0 \), we replace \( |G_i| \) with 1. A small contention window (CW) value (i.e., 32 slots) is used and each slot is 400 \( \mu \)secs, similar to [9], \( t_s \). If a node overhears a packet being routed during the backoff period, it aborts the backoff procedure and discards the received packet. Upon the backoff expire, if the node does not overhear a packet, it forwards the received packet.

III. PERFORMANCE EVALUATION

A. Simulation Testbed

We develop a customized discrete-event driven simulator using OMNeT++ [10] to conduct our experiments. A \( 250 \times 250 \) \( m^2 \) rectangular network area is considered, where 140 nodes are randomly distributed in the network. An initial network topology is set in Subfig. 7(a), and it changes over simulation...
time due to the time-varying asymmetric links in Subfig. 7(b). The radio model simulates CC2420 with a nominal data rate of 250 Kbps [11]. The radio propagation model is based on the free-space model. A single node generates data traffic with 0.25 to 2 packet injection rates and the data packet size is 1 KByte. The periods of energy harvesting and normal states are assumed to be exponentially distributed with mean $\lambda_{hv}$ (50 and 30 seconds) and $\lambda_{nr}$ (20 second), respectively. Depending on the state, normal and extended communication ranges are 40.8 m and 52 m. The total simulation time is 1,000 seconds.

B. Simulation Results

We vary the key simulation parameters: packet injection rate and period of energy harvesting and normal states. Combinations of the simulation parameters are used to conduct extensive performance evaluation studies. Five performance metrics are measured: packet delivery ratio (PDR), latency, and changes of increment factors, DF, and backoff period. For performance comparison, we modify a conventional explicit acknowledgment mechanism to work in energy harvesting WSNs, called Conventional Ack (CAck) scheme as a base case. In the CAck scheme, a sink replies an Ack packet only to the first arriving data packet with the increment factor, $\Delta_I$. The intermediate nodes located along the path relay the Ack packet back to a data source after a random backoff. For the sake of simplicity, we do not consider a timeout mechanism for retransmission in the data source in this paper. Based on the proposed WCFM and LCFM schemes, we also propose a hybrid approach by combining the weighted factor and reverse path, called Hybrid Confirmation (HCFM) scheme. In the HCFM scheme, the sink replies multiple Cfm packets piggybacked with multiplicative weights to the later arriving data packets. The intermediate nodes located along the path to the data source relay the Cfm packet after the asymmetric link aware backoff. They buffer any incoming Cfm packet, if the reverse path is not available.

1) Packet Delivery Ratio: Fig. 8 shows the PDR of four different schemes with varying packet injection rates and periods of energy harvesting and normal states in time-varying network topologies (see Fig. 7). Under longer energy harvesting period, as shown in Subfig. 8(a), higher PDR is achieved because more nodes operate in an extended communication range. Thus, each node is less likely disconnected with its neighbor nodes. The proposed WCFM, LCFM, and HCFM schemes show higher PDF than that of the CAck scheme. This is because of multiple Cfm packets with multiplicative increment factors and buffering any incoming Cfm packet, if the reverse path is not available, positively affects the PDR. The HCFM scheme shows the highest PDR for entire packet injection rates because it can identify and deploy multiple reliable paths based on the DF. However, the CAck scheme shows the lowest PDR for entire packet injection rates because data packets are routed through a single path, which is a time-varying asymmetric link and becomes unreliable. In Subfig. 8(b), overall PDRs decrease and performance saturation is delayed to 1.0 packet injection rate under shorter energy harvesting period.

2) Latency: Fig. 9 shows the latency of four different schemes. In Subfig. 9(a), the HCFM scheme shows the lowest latency for entire packet injection rates because data packets can be delivered reliably through multiple paths based on the DF. Multiple Cfm packets with extended communication range can increase the DF, reduce the backoff period, and identify the best path to the sink. Thus, the lowest latency can be achieved. Compared with the LCFM scheme, the WCFM scheme shows shorter latency because multiple Cfm packets can provide higher DF value that can lead to shorter backoff period. The CAck scheme shows the highest latency because of a blind random backoff period without considering asymmetric links. In Subfig. 9(b), four schemes show a similar pattern of the latency but higher latency is observed compared with the longer harvest period. Due to the short period of extended communication range, more link disconnections and longer waiting time of reverse paths are expected.
3) Increment Factor: We randomly select the nodes located near to the sink and data source to trace the values of increment factors, piggybacked in Cfm packets, in the HCFM and WCFM schemes. In Subfig. 10(a), the node receives many increment factors in the HCFM scheme. The node can receive multiple Cfm packets with different increment factors because the sink replays a Cfm packet to the later arriving data packet. More number of $\Delta_1$ than $\Delta_0.6$ and $\Delta_0.4$ are observed because Cfm packets for later arriving data packets are routed through a longer path or less reliable path. Since the node’s DF increases, it is more frequently involved in the forwarding and thus, more number of Cfm packets are received. In Subfig. 10(b), however, the node has not been involved in the forwarding and receives very few Cfm packets. Subfig. 10(c) shows the effect of time-varying asymmetric links in the WCFM scheme. The node has been actively involved in the forwarding but in later it is removed from the path due to the asymmetric links. Unlike to the LCFM and HCFM schemes, where any incoming Cfm packets are buffered, the node can lose Cfm packets in the WCFM scheme. Subfig. 10(d) shows that the node almost does not receive Cfm packets and thus, it is rarely considered as a forwarding node.

4) DF and Backoff Period: In Subfig. 11(a), we observe the changes of the DF in the WCFM, LCFM, and HCFM schemes. The HCFM scheme shows higher DF than that of other schemes because the sink replies multiple Cfm packets, which can also be buffered. Thus, more intermediate nodes can update their DF, i.e., increment the number of forwarded packets. The LCFM scheme shows the lowest DF because the sink replies only to the first arriving data packet with the increment factor of $\Delta_1$. The WCFM scheme shows higher DF than that of the LCFM scheme because of multiple Cfm packets with different factors. In Subfigs. 11(b), (c), and (d), we compare the backoff periods of three schemes. Since the backoff period is based on the DF and the number of neighbor nodes, the HCFM scheme shows the lowest backoff period compared with other two schemes. The LCFM scheme shows the highest and highly fluctuated backoff period. Note that the average backoff periods of the LCFM, WCFM, and HCFM schemes are 7.3619 msec, 6.8976 msec, and 5.2905 msec, respectively.

IV. CONCLUSION AND FUTURE WORK

In this paper, we investigated light-weight forwarding protocols in the presence of time-varying asymmetric links in energy harvesting WSNs. We proposed weighted and lazy route confirmation schemes and an asymmetric link aware backoff mechanism to reliably deliver sensory data. We evaluated their performance through extensive simulation experiments, compared with an modified conventional explicit acknowledgment scheme, and showed that the proposed forwarding protocols is a viable approach in energy harvesting WSNs.

To see the full potential of the proposed techniques, we relax our assumption on energy harvesting from environmental sources in WSNs. We implicitly assumed that each node uniformly harvests energy and extends its communication range in the network. We are currently investigating a piezoelectric (later piezo) based energy harvesting from ambient vibrations [12] in a mobile tactical network, where only actively moving nodes harvest energy and communicate with an extended communication range. For example, each soldier equipped with a piezo-based energy harvesting kit in his/her shoes moves according to a tactical maneuver within the network and disseminates captured information with other soldiers. We envision that the proposed forwarding protocols can be integrated for reliable data dissemination in a mobile tactical...
REFERENCES


